

Omen Advisory, LLC U.S. – Privacy Notice

Effective: July 28, 2025

FACTS

WHAT DOES OMEN ADVISORY, LLC DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Name, date of birth, and contact information
- Social Security number, Tax ID number, and citizenship/visa information
- Bank account numbers, routing numbers, and bank login credentials
- Employment information, annual income, and net worth
- Transaction history and account activity
- Geolocation data and device information
- Information from credit bureaus, affiliates, and other companies

How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information, the reasons Omen chooses to share, and whether you can limit this sharing.

Reasons we can share your personal information	Does Omen share?	Can you limit this sharing?
For our everyday business purposes—such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes—to offer our products and services to you	Yes	No

For joint marketing with other financial companies	Yes	No
For our affiliates' everyday business purposes— information about your transactions and experiences	Yes	No
For our affiliates' everyday business purposes— information about your creditworthiness	Yes	No
For nonaffiliates to market to you	No (unless you opt in)	Yes
For third-party analytics, research, and service providers	Yes	No

To limit our sharing

- Email us at team@agentomen.com
- If you have opted in to sharing with nonaffiliates for marketing, you may opt out at any time by contacting us at the above email.

Questions?

Contact us at team@agentomen.com.

Who we are

Who is providing this notice?

Omen Advisory, LLC and any future affiliates.

What we do

How does Omen protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards, encryption (such as SSL technology), secured files and buildings, and third-party ID verification. We take commercially reasonable technical, administrative, and physical safeguards to protect information from loss, misuse, and unauthorized access, disclosure, alteration, or destruction.

How does Omen collect my personal information?

We collect your personal information, for example, when you:

- Register for our services or open an account
 - Provide information through our initial questionnaire
 - Link your bank or credit card accounts
 - Make transactions or use our platform
 - Respond to surveys or contact us for support
 - Use our website, mobile app, or other online services
- We also collect information about you from others, such as credit bureaus, affiliates, financial institutions, and public sources.

Why can't I limit all sharing?

Federal law gives you the right to limit only:

- Sharing for affiliates' everyday business purposes—information about your creditworthiness
 - Affiliates from using your information to market to you
 - Sharing for nonaffiliates to market to you (Omen will only do this with your opt-in consent)
- State laws and individual Omen entities may give you additional rights to limit sharing.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- Our affiliates include any future companies under common control with Omen Advisory, LLC.

Nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- Nonaffiliates we share with can include service providers, financial services companies, research firms, and others as necessary to provide our services.

Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- Our joint marketing partners include financial services companies.
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Other important information

California residents:

You have the right to know, delete, or correct your personal information, opt out of the sale or sharing of your personal information, and limit the use or disclosure of your sensitive personal information. We will not share your information with nonaffiliates for their marketing purposes without your opt-in consent.

Vermont residents:

If your Omen account has a Vermont billing address, we will automatically treat your account as if you have directed us not to share information about your creditworthiness with our affiliates.

Children:

Individuals under the age of 18 are not permitted to subscribe to our services. We do not knowingly collect personal information from children under 13.

Former clients:

If your client relationship with Omen ends, we will not destroy your information unless required or permitted by law. We will continue to treat your personal information in accordance with this notice, our privacy policy, and applicable laws.

Changes to this notice:

We may update this notice to reflect changes to our information practices. If we make any material changes, we will notify you by email or by a notice on our platform prior to the change becoming effective.

Contacting Omen:

If you have any questions about this notice or our privacy practices, please contact us at team@agentomen.com.